

Lectures on MEMS and Microsystems Design and Manufacture

Chapter 6

Scaling Laws in Miniaturization

In this era of “think small,” one would intuitively simply scale down the size of all components to a device to make it small. *Unfortunately, the reality does not work out that way.*

It is true that nothing is there to stop one from down sizing the device components to make the device small. There are, however, serious physical consequences of scaling down many physical quantities.

This chapter will present, with a selected cases, the scaling laws that will make engineers aware of both positive and negative physical consequences of scaling down machines and devices.

Content

- **Scaling in Geometry**
- **Scaling in Rigid-Body Dynamics**
- **Scaling in Electrostatic Forces**
- **Scaling in Electromagnetic Forces**
- **Scaling in Electricity**
- **Scaling in Fluid Mechanics**
- **Scaling in Heat Transfer**

WHY SCALING LAWS?

Miniaturizing machines and physical systems is an ongoing effort in human civilization.

This effort has been intensified in recent years as market demands for:

Intelligent, Robust, Multi-functional and Low cost

consumer products has become more strong than ever.

The only solution to produce these consumer products is to package many components into the product –

making it necessary to miniaturize each individual components.

Miniaturization of physical systems is a lot more than just scaling down device components in sizes.

Some physical systems either cannot be scaled down favorably, or cannot be scaled down at all!

Scaling laws thus become the very first thing that any engineer would do in the design of MEMS and microsystems.

Types of Scaling Laws

1. Scaling in Geometry:

Scaling of physical size of objects

2. Scaling of Phenomenological Behavior

Scaling of both size and material characterizations

Scaling in Geometry

- **Volume (V)** and **surface (S)** are two physical parameters that are frequently involved in machine design.
- Volume leads to the **mass** and weight of device components.
- Volume relates to both **mechanical and thermal inertia**. Thermal inertia is a measure on how fast we can heat or cool a solid. It is an important parameter in the design of a thermally actuated device as described in Chapter 5.
- Surface is related to **pressure** and the **buoyant forces** in fluid mechanics. For instance, surface pumping by using piezoelectric means is a practical way for driving fluids flow in capillary conduits.

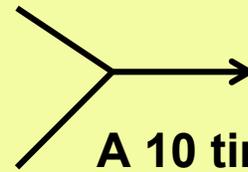
When the physical quantity is to be miniaturized, the design engineer must weigh the magnitudes of the possible consequences from the reduction on both the volume and surface of the particular device.

Scaling in Geometry

If we let ℓ = linear dimension of a solid, we will have:

The volume: $V \propto \ell^3$

The surface: $S \propto \ell^2$



$$S/V = \ell^{-1}$$

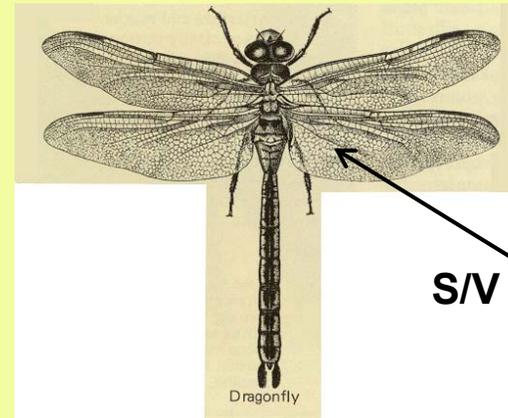
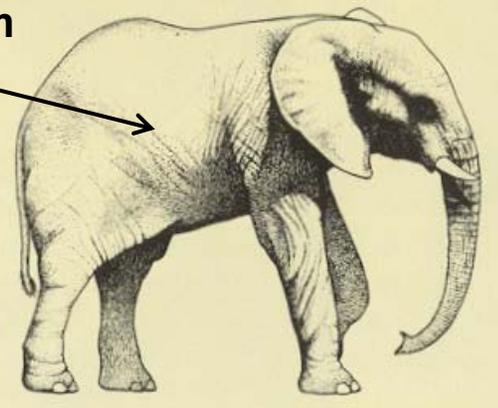
A 10 times reduction in length

→ $10^3 = 1000$ time reduction in volume.

but → $10^2 = 100$ time reduction
in surface area.

Since volume, V relates to mass and surface area, S relates to buoyancy force:

$S/V \approx 10^{-4}/\text{mm}$



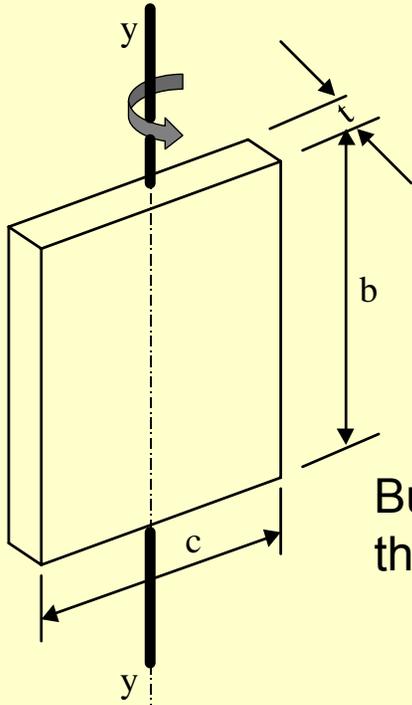
$S/V \approx 10^{-1}/\text{mm}$

Dragonfly

So, an elephant can never fly as easily as a dragonfly!!

Example 6.1: Example on scaling law in geometry of a MEMS device

What would happen to the required torque to turn a micro mirror with a 50% reduction in size?



Torque required to turn the mirror: $\tau \propto I_{yy}$

where I_{yy} = mass moment of inertia of the mirror about y-axis determined by the following expression:

$$I_{yy} = \frac{1}{12} M c^2$$

in which M = mass of the mirror and c = width of the mirror.

But the mass of the mirror, $M = \rho(bct)$ with ρ = mass density of the mirror material (a fixed value). Thus, we have:

$$I_{yy} = \frac{1}{12} \rho b c^3 t$$

A 50% reduction in size would result in the following:

$$I'_{yy} = \frac{1}{12} \rho \left[\left(\frac{1}{2} b \right) \left(\frac{1}{2} c \right)^3 \left(\frac{1}{2} t \right) \right] = \frac{1}{32} \left[\frac{1}{12} \rho b c^3 t \right] = \frac{1}{32} I_{yy}$$

Meaning a factor of **32 times reduction in required torque** to rotate the mirror!!

Scaling in Rigid-Body Dynamics

- **Forces** are required to make parts to move such as in the case of micro actuators.
- **Power** is the source for the generation of forces.
- An engineer needs to resolve the following issues when dealing with the design of a dynamics system such as an actuator :
 - The required amount of a force to move a part,
 - How fast the desired movements can be achieved,
 - How readily a moving part can be stopped.
- The resolution to the above issues is on the inertia of the actuating part.
- The inertia of solid is related to its **mass** and the **acceleration** that is required to initiate or stop the motion of a solid device component.
- In the case of miniaturizing these components, one needs to understand the effect of **reduction in the size** on the **power (P)**, **force (F)** or **pressure (p)**, and the **time (t)** required to deliver the motion.

Scaling in Rigid Body Dynamics

Rigid body dynamics is applied in the design of micro actuators and micro sensors, e.g. micro accelerometers (inertia sensors).

It is important to know how size (scaling) affects the required forces (F), and thus power (P) in the performances of these devices.

The dynamic force (F) acting on a rigid body in motion with **acceleration (a)** (or deceleration) can be computed from Newton's 2nd law: $F = M a$

The acceleration (a) in the Newton's law can be expressed in the following way
In scaling:

Let the displacement of the rigid body, $s \propto (\ell)$, in which ℓ = linear scale.

But velocity, $v = s/t$, and hence $v \propto (\ell)t^{-1}$, in which t is the required time.

From particle kinematics, we have: $s = v_o t + \frac{1}{2} a t^2$

where v_o = the initial velocity.

By letting $v_o = 0$, we may express: $a = \frac{2s}{t^2}$

Thus, the scaling of dynamic force, F is: $F = Ma = \frac{2sM}{t^2} \propto (\ell)(\ell^3)t^{-2}$

Trimmer Force Scaling Vector

William Trimmer in 1989 defined a **force scaling vector, F** as:

$$F = [\ell^F] = \begin{bmatrix} \ell^1 \\ \ell^2 \\ \ell^3 \\ \ell^4 \end{bmatrix}$$

ℓ

He was able to relate this vector with other pertinent parameters in dynamics as:

Order	Force scale, F	Acceleration, a	Time, t	Power density, P/V _o
1	1	-2	1.5	-2.5
2	2	-1	1	-1
3	3	0	0.5	0.5
4	4	1	0	2

In which “**order**” means the index, α in the scaling of a quantity in linear dimension, i.e. $(\ell)^\alpha$. For example: Weight, **W** \propto V (= $(\ell)^3$ for **order 3**); Pressure, **P** \propto 1/A (= $(\ell)^{-2}$ for **order 2**). The +ve or –ve sign of the order indicates proportional or reverse proportional in scaling.

Scaling in Rigid-Body Dynamics – Cont'd

Trimmer force scaling vector-cont'd

- **Power density** (P/V_o):
When scaling down a MEMS or a microsystem, one must make sure that the **power** used to drive the device or system is properly scaled down too.
- In the design practice, **power density**, rather than power, is used.
- **Power** is defined as **energy** produced or spent by the device per unit time, and energy is related to **work**, which is equals to the force required to move a mass by a distance. Mathematically, these relationships can be expressed as:

$$\frac{P}{V_o} = \frac{Fs}{tV_o}$$

in which, F = force, s = the displacement of the mass moved by the force, and t = time during which the energy is produced or consumed.

- The above expression is used to derive the “Force-scaling vector” as shown in the next slide.

Scaling in Rigid-Body Dynamics – Cont'd

Trimmer force scaling vector-cont'd

The power density:

$$\frac{P}{V_o} = \frac{[l^F][l^1]}{\{[l^1][l^3][l^{-F}]\}^{\frac{1}{2}}[l^3]} = [l^{1.5F}][l^{-4}] = [l^F]^{1.5}[l^{-4}]$$

$$= \begin{bmatrix} l^1 \\ l^2 \\ l^3 \\ l^4 \end{bmatrix}^{1.5} [l^{-4}] = \begin{bmatrix} l^{-2.5} \\ l^{-1} \\ l^{0.5} \\ l^2 \end{bmatrix}$$

(6.10)

Example 6.2

Estimate the associated changes in the acceleration (a) and the time (t) and the power supply (P) to actuate a MEMS component if its weight is reduced by a factor of 10.

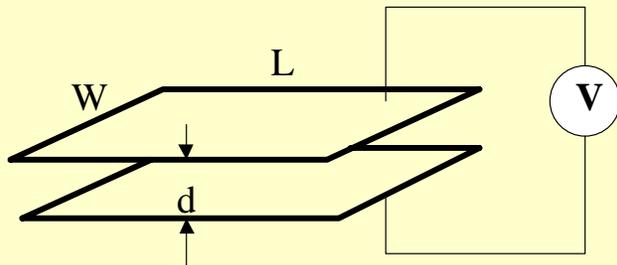
Solution:

Since $\mathbf{W} \propto V (= \ell^3)$, so it involves Order 3 scaling, from the table for scaling of dynamic forces, we get:

- There will be no reduction in the acceleration (ℓ^0).
- There will be $(\ell^{0.5}) = (10)^{0.5} = 3.16$ reduction in the time to complete the motion.
- There will be $(\ell^{0.5}) = 3.16$ times reduction in power density (P/V_0).

The reduction of power consumption is $3.16 V_0$. Since the volume of the component is reduced by a factor of 10, the power consumption after scaling down reduces by: $P = 3.16/10 = 0.3$ times.

Scaling in Electrostatic Forces



When two parallel electric conductive plates is charged by a voltage →

Electric potential field

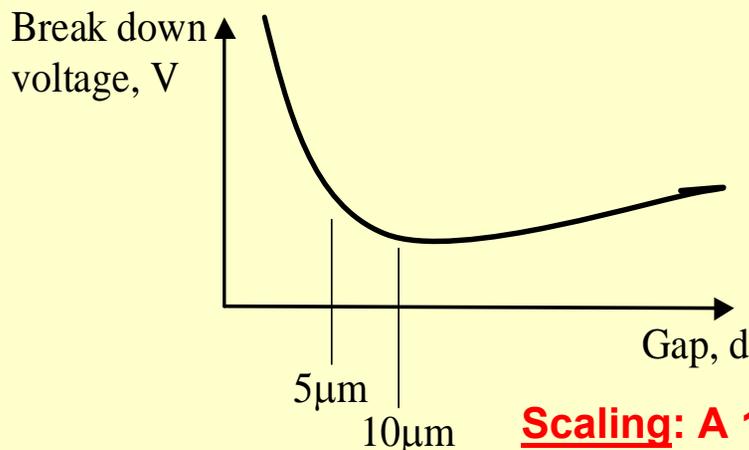
The corresponding potential energy is:

$$U = -\frac{1}{2} C V^2 = -\frac{\epsilon_0 \epsilon_r W L}{2d} V^2$$

Let ℓ = linear scale of the electrodes, we will have:

$$\epsilon_0, \epsilon_r \propto \ell^0 \text{ and } W, L \text{ and } d \propto \ell^1$$

The scaling of voltage, V can be approximated by the Paschen's effect illustrated as:



We will use a linear scaling for the voltage:

$$V \propto \ell^1$$

from which we get the scaling of the Potential energy, U to be:

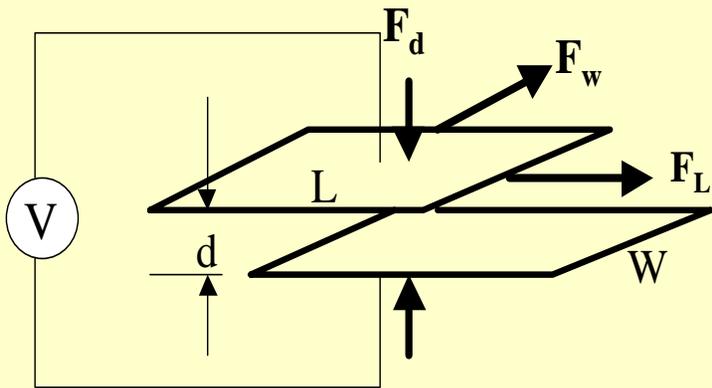
$$U \propto \frac{(\ell^0)(\ell^0)(\ell^1)(\ell^1)(\ell^1)^2}{\ell^1} = (\ell^3)$$

Scaling: A 10 times reduction of linear size of electrodes

→ 10³ = 1000 times reduction in Potential energy!!

Scaling in Electrostatic Forces – Cont'd

Electrostatic forces in misaligned electrodes are obtained by:



$$F_d = -\frac{\partial U}{\partial d} = -\frac{1}{2} \frac{\epsilon_0 \epsilon_r W L V^2}{d^2} \propto l^2$$

$$F_w = -\frac{\partial U}{\partial W} = \frac{1}{2} \frac{\epsilon_0 \epsilon_r L V^2}{d} \propto l^2$$

$$F_L = -\frac{\partial U}{\partial L} = -\frac{1}{2} \frac{\epsilon_0 \epsilon_r W V^2}{d} \propto l^2$$

So, we may conclude that electrostatic forces:

$$F_d, F_w, \text{ and } F_L \propto l^2$$

Scaling: A 10 times reduction in electrode linear dimensions

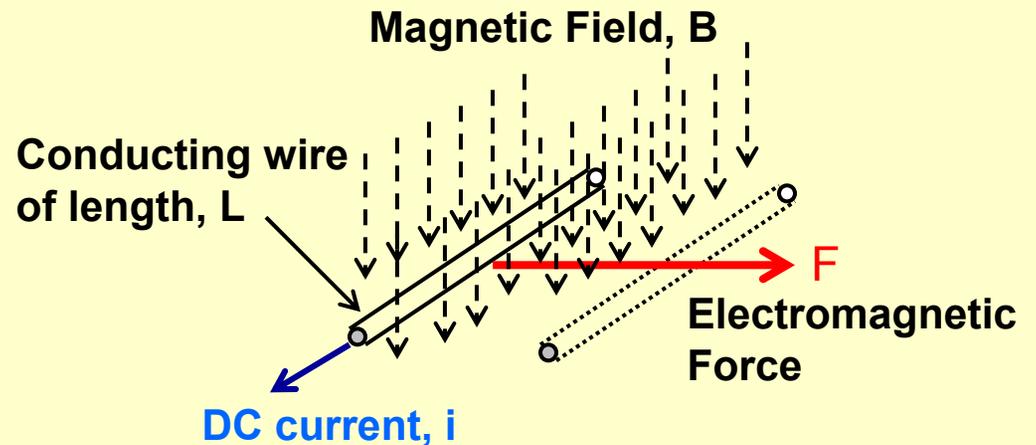
→ **10² = 100 times reduction in the magnitude of the electrostatic forces.**

Scaling in Electromagnetic Forces

Electromagnetic forces are the principal actuation forces in macroscale, or traditional motors and actuators.

Working principle:

An electromagnetic force, F is generated when a conducting wire with passing electric current, i subjected to an emf is exposed to a magnetic field B with a flux, $d\Phi$ as illustrated:



Faraday's law governs the induced force (or a motion) in the wire under the influence of a magnetic field. One may find that the scaling of electromagnetic force follows: $F \propto \ell^4$, in which $\ell = L$, the length of the conducting wire.

What the above scaling means is that reducing the wire length by half ($1/2$) would result in reduction of F by $2^4 = 16$ times, whereas the reduction of electrostatic force with similar reduction of size would result in a factor of $2^2 = 4$.

This is the reason why electromagnetic forces are **NOT** commonly used in MEMS and microsystems as preferred actuation force.

Scaling in Electricity

Electric resistance

$$R = \frac{\rho L}{A} \propto (\ell)^{-1}$$

in which ρ , L and A are respective electric resistivity of the material, the length and across-sectional area of the conductor

Resistive power loss

$$P = \frac{V^2}{R} \propto (\ell)^1$$

where V is the applied voltage.

Electric field energy

$$U = \frac{1}{2} \varepsilon E^2 \propto (\ell)^{-2}$$

where ε is the permeativity of dielectric , and E is the electric field strength $\propto (\ell)^{-1}$.

Ratio of power loss to available power

$$\frac{P}{E_{av}} = \frac{(\ell)^1}{(\ell)^3} = (\ell)^{-2}$$

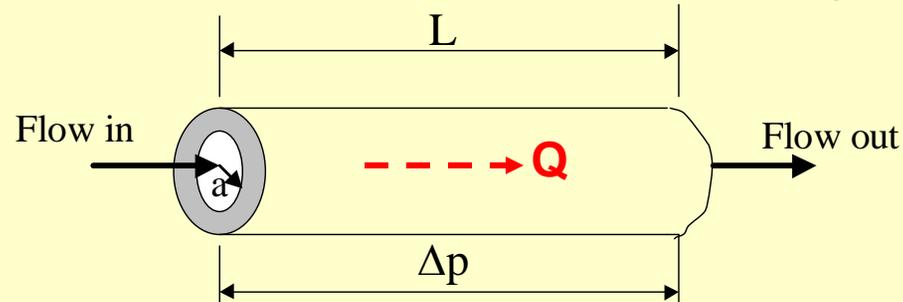
Scaling in Electricity

From "Nanosystems," K. Eric Drexler, John Wiley & Sons, Inc., New York, 1992
Chapter 2, 'Classical Magnitudes and Scaling Laws,' p. 34:

Electric Quantity	Index, α in l^α
Current, i	2
Voltage, V	1
Resistance, R	-1
Capacitance, C	1
Inductance, L	1
Power, P	2

Scaling in Fluid Mechanics

Two important quantities in fluid mechanics in flows in capillary conduits:



A. Volumetric Flow, Q :

From Hagen-Poiseuille's equation in (5.17):

$$Q = \frac{\pi a^4 \Delta P}{8\mu L} \quad \text{Leads to:} \quad Q \propto a^4$$

Meaning a reduction of 10 in conduit radius

→ $10^4 = 10000$ times reduction in volumetric flow!

B. Pressure Drop, ΔP :

From the same Hagen-Poiseuille's equation, we can derive:

$$\Delta P = -\frac{8\mu V_{ave} L}{a^2} \quad \text{Leads to:} \quad \Delta P/L \propto a^{-3}$$

Scaling: A reduction of 10 times in conduit radius

→ $10^3 = 1000$ times increase in pressure drop per unit length!!

Scaling in Heat Conduction

Two concerns in heat flows in MEMS:

A. How **conductive** the solid becomes when it is scaling down?

This issue is related to thermal conductivity of solids.

Equation (5.51) indicates the thermal conductivity, k to be:

$$k = \frac{1}{3} CV\lambda \propto (\ell^{-3})(\ell^1)(\ell^3) = (\ell^1)$$

B. How **fast** heat can be conducted in solids:

This issue is related to Fourier number defined as:

$$F_o = \frac{\alpha t}{L^2} \quad \text{Leads to:} \quad t = \frac{F_o}{\alpha} L^2 \propto (\ell^2)$$

Scaling: A 10 times reduction in size

→ $10^2 = 100$ time reduction in time to heat the solid.

End of
Chapter 6